

## PART B - FEE(S) TRANSMITTAL

O I P E  
SEP 15 2004

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
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(703) 746-4000

or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS".

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

001366 7590 07/06/2004

HORIZON IP PTE LTD  
166 Kallang Way  
6th Floor  
SINGAPORE 349249,  
SINGAPORE

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Certificate of Mailing or Transmission  
I hereby certify that this Fee(s) Transmittal is being deposited with the US  
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transmitted to the USPTO (703) 746-4000, on the date indicated below.Wendy LIM (Depositor's name)  
/Wendy LIM (Signature)  
September 15, 2004 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/065,254	09/30/2002	Ewald Guenther	2002P12562US	5658

## TITLE OF INVENTION: ENCAPSULATION OF ELECTRONIC DEVICES WITH SHAPED SPACERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1330	\$300	\$1630	10/06/2004
EXAMINER	ART UNIT	CLASS-SUBCLASS			
CLARK, JASMINE JHIHAN B	2815	257-040000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

 Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Dexter CHIN

2. \_\_\_\_\_

3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

## (A) NAME OF ASSIGNEE

## (B) RESIDENCE: (CITY and STATE OR COUNTRY)

1) Osram Opto Semiconductors GmbH

(1) Wernerwerkstrasse 2, D-93049 Regensburg, Germany

2) Institute of Materials Research and Engineering

(2) 3 Research Link, Singapore 117602

Please check the appropriate assignee category or categories (will not be printed on the patent):  individual  corporation or other private group entity  government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

 Issue Fee A check in the amount of the fee(s) is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. Advance Order - # of Copies \_\_\_\_\_ The Director is hereby authorized to charge the required fee(s), or credit any overpayment Deposit Account Number 50-2388 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

 a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature)

(Date) September 15, 2004

Dexter CHIN

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PTOL 85 (Rev 07/04) Approved for use through 04/30/2007

OMB 0651 0033 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

09/15/2004 EABUBAK2 00000209 502388 10065254

01 FO:1501  
02 FO:15041330.00 DA  
300.00 DA

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**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Alexandria, VA 22313-1450 on September 15, 2004.

Signed: Wendy  
Wendy LIM

**Facsimile Transmission Cover Sheet****Recipient:**

Mail Stop **ISSUE FEE**  
Examiner Jasmine Jhihan B **CLARK**  
Art Unit 2815

Fax. : (703) 746 4000

**Confirmation No.:** 5658**Sender:**

Dexter CHIN  
Horizon IP Pte Ltd  
Tel : (65) 9836 9908  
Fax : (65) 6746 8263

**Subject Matter:**

US Patent Application Number: 10/065,254  
Response to Notice of Allowance mailed on July 6, 2004

**Total Pages (including cover sheet):** 6 pages**Attachments:**

1. Certificate of Transmission
2. Transmittal Form
3. Fee Transmittal Form
4. Part B – Fee(s) Transmittal Form No. PTOL-85 (2 pages)



PTO/SB/97 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

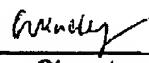
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## Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office

on September 15, 2004  
Date



Signature

Wendy LIM

Typed or printed name of person signing Certificate

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- 1) Transmittal Form
- 2) Fee Transmittal Form
- 3) Part B – Fcc(s) Transmittal Form No. PTOL-85 (2 pages)

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PTO/SB/21 (08-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

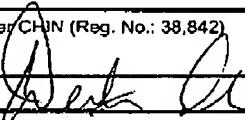
6

Application Number	10/065,254
Filing Date	Sep 30, 2002
First Named Inventor	Ewald GUENTHER
Art Unit	2815
Examiner Name	Jasmine Jihien B CLARK
Attorney Docket Number	2002P12562US

### ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Technology Center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Remarks 1) Part B – Fee(s) Transmittal Form No. PTOL-85 (2 pages)		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Dexter CHIN (Reg. No.: 38,842)
Signature	
Date	September 15, 2004

### CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Wendy LIM
Signature	
Date	September 15, 2004

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## FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** 1,630

### Complete if Known

Application Number	10/065,254
Filing Date	September 30, 2002
First Named Inventor	Ewald GUENTHER
Examiner Name	Jasmine Jhian B CLARK
Art Unit	2815
Attorney Docket No.	2002P12562US

### METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
Deposit Account Name

50-2388

HORIZON IP PTE LTD

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) or any underpayment of fee(s)  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

#### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>			

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Independent Claims	Multiple Dependent	Extra Claims below	Fee from below	Fee Paid
			-20** =		
			-3** =		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1202 18	2202 9	Claims in excess of 20	
1201 86	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 86	2204 43	** Reissue independent claims over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2) (\$)</b>			

\*\* or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

#### Large Entity Small Entity

Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath			
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet			
1053 130	1053 130	Non-English specification			
1812 2,520	1812 2,520	For filing a request for ex parte reexamination			
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action			
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action			
1251 110	2251 55	Extension for reply within first month			
1252 420	2252 210	Extension for reply within second month			
1253 950	2253 475	Extension for reply within third month			
1254 1,480	2254 740	Extension for reply within fourth month			
1255 2,010	2255 1,005	Extension for reply within fifth month			
1401 330	2401 165	Notice of Appeal			
1402 330	2402 165	Filing a brief in support of an appeal			
1403 290	2403 145	Request for oral hearing			
1451 1,510	1451 1,510	Petition to institute a public use proceeding			
1452 110	2452 55	Petition to revive - unavoidable			
1453 1,330	2453 665	Petition to revive - unintentional			
1501 1,330	2501 665	Utility issue fee (or reissue)	1,330		
1502 480	2502 240	Design issue fee			
1503 640	2503 320	Plant issue fee			
1460 130	1460 130	Petitions to the Commissioner			
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)			
1806 180	1806 180	Submission of Information Disclosure Stmt			
8021 40	8021 40	Recording each patent assignment per property (times number of properties)			
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))			
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))			
1801 770	2801 385	Request for Continued Examination (RCE)			
1802 900	1802 900	Request for expedited examination of a design application			
Other fee (specify)		Publication Fee	300		

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** 1,630

(Complete if applicable)

Name (Print/Type)	Dexter CHIM	Registration No. (Attorney/Agent)	38,842	Telephone	+6598369908
Signature				Date	September 15, 2004

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